

Substitute for form 1449/PTO

*Complete if Known*

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Application Number	10/806,311
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10/806,311

Filing Date	March 22, 2004
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March 22, 2004

First Named Inventor	Ogle
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## Ogle

Art Unit	3731
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3731

Examiner Name	Unknown
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Unknown

Attorney Docket Number	3126.01US03
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3126.01US03

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code <sup>2</sup> (if known)		
VN		US- 4,429,939	12/27/1983	Sharp et al.
		US- 4,512,838	04/1989	Balko et al.
		US- 4,550,126	10/29/1985	Lorenz
		US- 4,793,348	12/27/1988	Palmaz
		US- 4,817,600	04/04/1989	Herms et al.
		US- 4,832,055	05/23/1989	Palestrant
		US- 5,059,205	10/22/1991	El-Nounou et al.
		US- 5,200,248	04/06/1993	Thompson et al.
		US- 5,350,398	09/27/1994	Pavenik et al.
		US- 5,370,657	12/06/1994	Irie
		US- 5,407,673	04/18/1995	Reich et al.
		US- 5,569,463	10/29/1996	Helmus et al.
		US- 5,651,765	07/29/1997	Haworth et al.
		US- 5,704,910	01/06/1998	Humes
		US- 5,782,791	07/21/1998	Peterson et al.
		US- 5,807,306	09/1998	Shapland et al.
		US- 5,836,868	11/17/1998	Ressemann et al.
		US- 5,911,704	06/15/1999	Humes
		US- 5,914,125	06/22/1999	Andrews et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			

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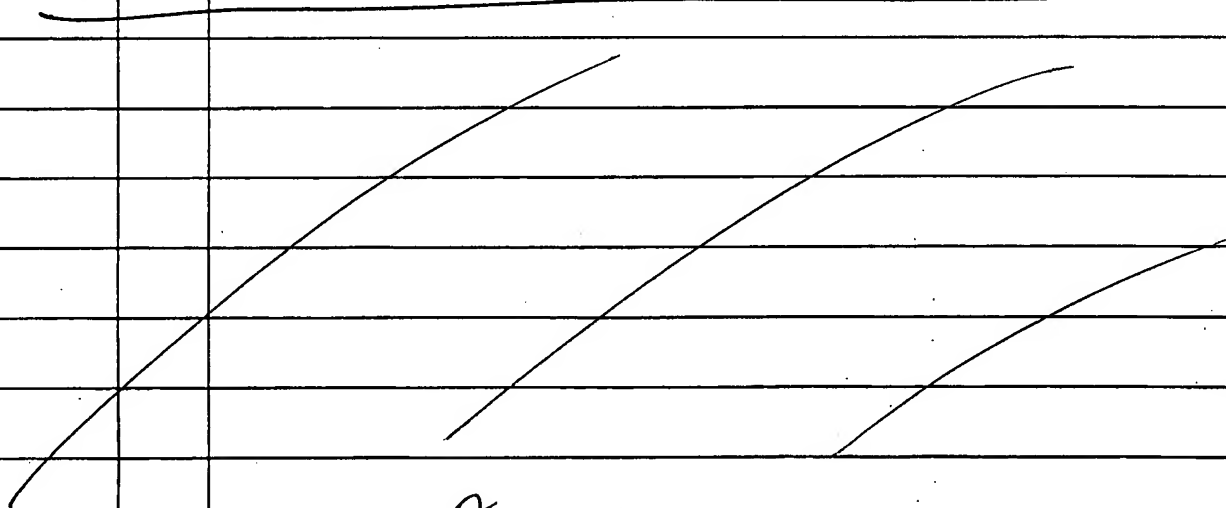
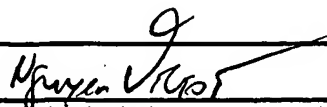
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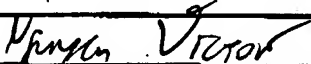
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				Application Number	10/806,311
				Filing Date	March 22, 2004
				First Named Inventor	Ogle
				Art Unit	3731
				Examiner Name	Unknown
Sheet	2	of	4	Attorney Docket Number	3126.01US03
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL <sup>*</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code <sup>2</sup> (if known)			
UP		US- 5,919,145	07/1999	Sahatjian	
		US- 5,938,645	08/17/1999	Gordon	
		US- 5,977,429	11/02/1999	Phillips et al.	
		US- 6,066,149	05/23/2000	Samson et al.	
		US- 6,099,864	08/08/2000	Morrison et al.	
		US- 6,103,376	08/15/2000	Phillips et al.	
		US- 6,123,681	09/26/2000	Brown, III	
		US- 6,160,084	12/12/2000	Langer et al.	
		US- 6,231,589	05/15/2001	Wessman et al.	
		US- 6,245,088	06/12/2001	Lowery	
		US- 6,254,563	07/03/2001	Macoviak et al.	
		US- 6,267,776	07/31/2001	O'Connell	
		US- 6,273,901	08/14/2001	Whitcher et al.	
		US- 6,306,163	10/23/2001	Fritz	
		US- 6,346,116	02/12/2002	Brooks et al.	
		US- 6,364,895	04/02/2002	Greenhalgh	
		US- 6,364,896	04/02/2002	Addis	
		US- 6,371,970	04/16/2002	Khosravi et al.	
		US- 6,391,044	05/21/2002	Yadav et al.	
	<b>FOREIGN PATENT DOCUMENTS</b>				
EXAMINER INITIAL <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
EXAMINER SIGNATURE				DATE CONSIDERED	8/10/07
<small> <p><sup>*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> <p><sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="http://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</p> </small>					

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,391,300	05/21/2002	Rose et al.	
		US- 6,491,965	12/10/2002	Berry et al.	
		US- 6,605,111	08/2003	Bose et al.	
		US- 20020072550	06/13/2002	Brady et al.	
		US- 10/414,909	04/16/2003	Ogle	
		US- 10/781,503	02/18/2004	Ogle et al.	
		US- 2004/0093015	05/13/2004	Ogle	
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				Art Unit	3731
				Examiner Name	Unknown
Sheet	4	of	4	Attorney Docket Number	3126.01US03
<b>NON PATENT LITERATURE DOCUMENTS</b>					
EXAMINER INITIAL*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
<i>UK</i> 		FASSEAS et al., "Distal protection devices during percutaneous coronary and carotid interventions," current Controlled Trials in Cardiovascular Medicine, Vol. 2, No. 6, December 2002, 5 pages.			
		"Smart suture is first application of novel MIT polymer," from website <a href="http://web.mit.edu/newsoffice/nr/2002/lander-suture.html">http://web.mit.edu/newsoffice/nr/2002/lander-suture.html</a> , April 25, 2002, 3 pages.			
		REICHENSPURNER et al., "Particulate emboli capture by an intra-aortic filter device during cardia surgery," The Journal of Thoracic & Cardiovascular Surgery, Vol., 119(2), February 2000, pages 233-241.			
		HARRINGER et al., "Capture of particulate emboli during cardiac procedures in which aortic cross-clamp is used," The Society of Thoracic Surgeons, Vol. 70, 2000, pages 1119-1123.			
					
EXAMINER SIGNATURE				DATE CONSIDERED	8/10/07
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</p> <p style="text-align: center;"><i>If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</i></p>					

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w 1 0		US- 6,245,089	06/2001	Daniel et al.	
		US- 6,371,969	04/2002	Tsugita et al.	
		US- 6,450,989	09/2002	Dubrul et al.	
		US- 6,485,501	11/2002	Green	
		US- 6,485,502	11/2002	Don Michael et al.	
		US- 6,527,746	03/2003	Oslund et al.	
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				Art Unit	3731
				Examiner Name	Nguyen, V.
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✓ 1 0		US-5,720,764	02-24-1998	Naderlinger	
		US-5,935,139	08-10-1999	Bates	
		US-6,238,412	05-29-2001	Dubrul et al.	
		US-6,514,273	02-04-2003	Voss et al.	
		US-6,610,077	08-26-2003	Hancock et al.	
		US-2005/0021075	01-27-2005	Bonnette et al.	
		US-7,052,500	05-30-2006	Bashiri et al.	
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✓		WO 02/055146	07-18-2002	Rosenbluth et al.	
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